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# CHOICE OF EXCAVATION METHOD OF THE ORE **DEPOSITS**

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## ABSTRACT

The paper defines choice of the optimal excavation method for ore deposits, which are characterized by general irregularity due to their origin, occurrence and different content of the usable minerals. In such complex conditions, the choice of the excavation method is defined according to: the natural characteristics of the deposit and according to the techno - economic parameters of the comparison methods and the methods of multi-criteria optimization.

Keywords: *excavation method, natural characteristics, multicriteria optimization, AHP method*

## INTRODUCTION

The choice of the optimal manner of excavating ore deposits is the most important phase when designing the future of underground mine. From the selected manner of excavation (methods of excavation) depend on  $[1]$ :

- the economic indicators of mine operation, (the cost of deposit exploitation is 60% of the total costs of the underground mine)
- work safety of employees and equipment
- use of certain mining mechanization

The choice of the excavation method of ore deposits is a complex and very responsible procedure when designing the underground exploitation of a deposit, and the selection process itself is done in two basic manners:

- the choice of the excavation method according to the natural characteristics of the deposit is a rational manner of choosing the excavation method
- optimal manner of choosing the method of excavation, which is done on the basis of technical and economic parameters by economic comparison of variants of excavation methods, i.e. by the method of multi-criteria optimization

## CHOICE OF EXCAVATION METHOD ACCORDING TO THE NATURAL CHARACTERISTICS OF DEPOSIT

The choice of the excavation method for a certain non-layered (ore) mineral deposit is made according to certain mining and geological characteristics of that deposit, whereby these characteristics can be divided into two groups:

- 1. The basic or constant factors:
	- stability of the ore and the surrounding rock
	- capacity (thickness) of the ore body (deposits)
	- dip angle
- 2. The variable factors:
	- deposit size per strike and dip
	- ore body morphology
	- value of ore
	- the character of the useful minerals distribution in the ore body
	- tendency of ore to compaction (hardening), oxidation or self-ignition
	- the hydrogeological characteristics
	- the need to preserve the surface of the terrain above deposit

The basic or constant factors are taken into account in each case, while variable factors are taken as limitations in certain cases [2].

The stability of ores and surrounding rocks defines the possibility of applying excavation methods with gape excavated areas, methods with support or methods with caving [3]. Depending on the stability of the ore and surrounding rocks, the excavation method is chosen (Table 1), as well as the parameters of the roof control system that provide safe working conditions [4,5,6].



Table 1. Mining methods according to the stability of the ore and the surrounding rock

Table 2 gives the choice of the excavation method depending on the dip angle and the thickness (capacity) of the deposit.





Table 3. presents systematized basic or constant factors and their influence for certain groups and subgroups of ore mining methods.

		Layer			Stability of	
Group		Subgroup	thickness	Dip angle	Ore stability	hanging wall /
			(m)	$^{(0)}$		floor
	Frontal excavation methods 1.	$1,5 - 4$	$\leq 30^0$		stable /	
					stable	stable
	2.	Room and pillar methods	$2 - 30$	$\leq 45^0$		stable /
					stable	stable
	3.	Sublevel caving methods	$\geq 30$	$\geq 60^0$		stable /
EXCAVATION I AND OPEN <b>METHODS</b>					stable	stable
	4.	Shrinkage stope methods	$0,6 - 5$	$\geq 60^0$		stable /
					stable	stable
		1.1. Roof excavations in	>1	$\geq 60^0$		any/
	1. Excavation	horizontal levels			stable	any
	methods with	1.2. Roof excavations in sloping	$0,7 - 4$	$\geq 60^0$		stable /
	backfilling of	levels			stable	stable
	stopes	1.3. Self-backfilling excavation	$0,1-0,8$	$\geq 60^0$		any/
		methods			stable	any
	2. Excavation	2.1. Excavation methods in	>4	$\geq 50^{\overline{0}}$		any/
	method with	horizontal levels			unstable	any
	substructure and	2.2. Excavation methods by	>4	$0 - 90^0$		any/
	backfilling of	square set			unstable	any
II EXCAVATION METHODS WITH BUILDING	excavated areas					
		3.1. Excavation methods in	>4	$0 - 90^0$		any
	3. Excavation	horizontal levels			unstable	
	methods with	3.2. Frontal excavation methods	>3	$< 30^0$		moderately
	subdivision of				unstable	firm
	excavated areas	3.3. Excavation methods per dip	$\lt$ 3	$\leq 45^0$		moderately
		of deposit			unstable	firm
		1. Excavation methods by the immediate roof caving	< 4	$< 40^{0}$	stable	moderately
						firm
		2. Excavation method by roof caving in the levels	>3	$\geq 45$	stable	unstable/
						unstable
WITH CAVING EXCAVATION	3. Sublevel caving methods	>15	$\geq 45$	any	unstable/	
						unstable
<b>III METHODS OF</b>		4. Excavation methods with block cutting	> 25	$\geq 75$	unstable	moderately
						firm

Table 3. Influential natural parameters on the choice of excavation method

On the example of one deposit of irregular contours, with stable ore and unstable sides. The deposit has an average capacity of about 2 m, with dip angle of about  $50^{\circ}$  and a depth of about 300 m. The value of the ore in the deposit is average, and the distribution of useful minerals is uneven in such a way that the rich parts of the ore are mixed with the poor parts. Based on the known characteristics, Table 4. is compiled with a list of factors and their characteristics.

Table 4. Choice of the excavation method based on the natural characteristics of the deposit (Example)

Characteristic	Value	Possible method of excavation
Dip angle	$50^0$	I-3,4; II-1.1., 1.2., 1.3., 1.4., 2, 1., 2.2., 3.1,
		$III-2,3,4$
Deposit thickness	2 <sub>m</sub>	$I-1, 2, 4; II-1.1, 1.2, 1.3, 3.1, 3.2; III-1, 2$
Strength		
1. Ore	Stable	$II-1.1, 1.3; III-2, 3$
2. Surrounding rocks	Unstable	
Deposit contours	Incorrect contour	
Contact of ore and surrounding rocks	Clear contact	$I - III$
	Rich ore alternates with poor	$I - III$
Metal distribution in the deposit	ore and waste	with selective excavation
Disturbance of the terrain surface	No limit	$I - III$
Depth of exploitation	$300 \text{ m}$	$I - III$

Table 4. shows that the following excavation methods are suitable for a specific ore deposit:

- Method of roof directional excavation with backfilling in horizontal levels
- Sub-level caving method
- Excavation methods with backfilling and backfilling in horizontal levels
- Excavation methods with supporting in the horizontal levels

The final decision on the choice of excavation method will be made by optimizing of the selected excavation methods, which can be done on the basis of technical and economic parameters by economic comparison of variants of the excavation methods or by the method of multi-criteria optimization [7,8,9].

# CHOICE OF EXCAVATION METHOD BY MULTICRITERIA ANALYSIS

The method of analytical hierarchical processes (AHP) was developed by Thomas Saaty in the early 1970s and it represents one tool in decision analysis. The area of method application is multi-criteria decision, where based on the defined set of criteria and attribute values for each alternative, the most acceptable solution is selected, i.e. the complete schedule of the importance of the alternative in the model is shown. Four phases of application of the method can be distinguished [10]:

- 1) structuring the problem
- 2) data collection
- 3) estimation of the relative weights
- 4) determining the solution to the problem

Problem structuring consists of decomposing a certain complex decision problem into a series of hierarchies, where each level represents a smaller number of the managed attributes. A graphical representation of the structuring of the problem is presented in Figure 1.



Figure 1. Structuring of the problem

By collecting data and measuring them, the second phase of the AHP method begins. The decision maker assigns the relative ratings to pairs of attributes of one hierarchical level, for all levels of the entire hierarchy. The Saaty scale of nine points, presented in Table 5, is used.





At the end of this phase, an appropriate matrix of comparisons by pairs corresponding to each level of the hierarchy is obtained.

Estimation of relative weights is the third phase of application of the AHP method. The comparison matrix will be "translated" in pairs into problems of determining of own values, in order to obtain normalized and unique own vectors, as well as weights for all attributes at each level of the hierarchy *A*<sup>1</sup>

2, ,...,  $A A_n$ , with the weight vector *m m*  $m = ( ) 12, ..., n$ ., [10].

Determining the solution of the problem is the last phase of the AHP method, and it involves finding the so-called composite normalized vector. Once the vector of the sequence of activity of the criteria in the model is determined, in the next round it is necessary, within each observed criterion, to determine the sequence of importance of the alternative in the model [11].

Finally, the overall synthesis of the problem is performed as follows: the participation of each alternative is multiplied by the weight of the certain criterion, and then these values are summed for each alternative separately. The obtained data represents the weight of the certain alternative in the model. In the same way, the weight is determined for all other alternatives, after which the final sequence of alternatives in the model can be determined.

The AHP method belongs to the group of popular methods, because it has the possibility of identifying and analyzing the consistency of the decision maker in the process of comparing elements from the hierarchy. Since the comparison of the alternative is based on a subjective assessment by the decision maker, it is necessary to constantly monitor it, in order to ensure the necessary accuracy [12]. The AHP method makes it possible to monitor the consistency of estimates at any time in the process of comparing the alternative pairs.

Using the consistency index:

$$
C.I = (\lambda_{max} - n)/(n-1)
$$

the consistency ratio is calculated:  $C.I.$  $R.I$ *R.I.-* random index (matrix consistency index of size *n* randomly generated pair comparisons).



Table 6. Random index value R.I.

The coefficient  $\lambda_{\text{max}}$  is the maximum and main characteristic of the value of the comparison matrix, while *n* is the size of the comparison matrix. In this case, it is valid that  $\lambda \ge n$ , and the difference  $\lambda_{\text{max}} - n$  is used in measuring the consistency of the estimation. In case of inconsistency, if  $\lambda_{\text{max}}$  is closer to *n*, the *Majstorović, S. et al: Choice of Excavation ...... Archives for Technical Sciences 2023, 28(1), 1-10*

estimate is more consistent. If the comparison matrix is  $C, R \leq 0.10$ , estimates of the relative importance of the criteria (alternative priorities) are considered as acceptable. Otherwise, the reasons why the inconsistency of the assessment is unacceptably high need to be found.

The choice of the excavation method according to the natural characteristics of the deposit always precedes to the final choice, which means that in this way the variants of the excavation method are defined which according to the natural characteristics meet the set conditions [13]. For example, for the appropriate deposit the following excavation methods are selected that could be applied in Table 7.

Table 7. The variants of excavation methods selected according to the natural characteristics of the deposit



Attributes at the second level (decision criteria) are marked as follows (Table 8):



## Table 8. Criteria for choice of excavation method

Criteria such as coefficient of processing, recovery, dilution of ore, excavation effect for individual groups and subgroups of excavation methods of non-layered (ore) deposits are given in Table 9.



Table 9. Influential techno-economic parameters for the choice of the excavation method

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	3. Excavation methods with	3.2. Frontal excavation methods	$4 - 15$	$5 - 12$	$90 - 95$	$5 - 15$	$25 - 35$
	subdivision of excavated areas	3.3. Excavation methods per dip of deposit	$2 - 15$	$7 - 12$	$90 - 95$	$2 - 13$	$25 - 32$
III METHODS WITH CAVING	1. Excavation methods by caving of the immediate hanging wall	$10 - 20$	$3 - 8$	$85 - 95$	$2 - 15$	$15 - 28$	
	2. Excavation method by roof caving	$15 - 35$	$4 - 6$	$95 - 98$	$1 - 8$	$12 - 30$	
	3. Sublevel caving methods	$40 - 90$	$3 - 15$	$80 - 95$	$5 - 25$	$15 - 25$	
	4. Excavation methods with block cutting	$5 - 130$	$2 - 10$	$75 - 95$	$10 - 25$	$15 - 30$	

The next step of the AHP method is pairwise comparison, Saaty's scale of relative importance is used when comparing in pairs.

	$K_1$	$K_2$	$K_3$	$K_4$	$K_5$	$K_6$	$K_7$	$K_8$	Weights
$K_1$	1.000	3,000	4,000	3,000	5,000	2,000	7,000	8,000	0,2792
$\mathrm{K}_2$	0,333	1,000	2,000	0,333	4,000	0,200	3,000	5,000	0,0967
$K_3$	0.250	0,500	1,000	0,333	4,000	0,166	3,000	5,000	0.0799
K4	0,333	3,000	3,000	1,000	5,000	0,166	4,000	6,000	0,1417
$K_5$	0.200	0,250	0,250	0.200	1,000	0.143	0,500	3,000	0.0359
$K_6$	0,500	5,000	6,000	6,000	7,000	1,000	7,000	8,000	0,3016
K7	0,143	0,333	0,333	0,250	2,000	0,143	1,000	4,000	0,0454
$K_8$	0,125	0,200	0,200	0,166	0,133	0,125	0,250	1,000	0,0195
Total	2,884	13,283	16,783	11,282	28,130	3.943	25,750	40,00	

Table 10. Comparison of attributes at the level of decision criteria

$$
\lambda = 8,77; C.I. = \frac{\lambda_{max} - n}{n - 1} = \frac{8,77 - 8}{7} = 0,11; C.R. = \frac{C.I.}{R.I.} = \frac{0,11}{1,4} = 0,079 < 0,10
$$

Analogous to the previous one, the attributes of the alternative level can be marked as follows (Table 11):

Table 11. Alternative level attributes

Roof directional excavation method with backfilling in horizontal levels	
Excavation method with roof caving	
Methods of excavation with support and backfilling in horizontal levels	
Excavation methods with support in horizontal levels	

The corresponding alternative comparison matrices for each criterion attribute and their priorities are shown in the following tables  $(12 – 19)$ .

Table 12. Matrices of relevant importance of the alternative in relation to attribute *K<sup>1</sup>* (Value of exc. ore)

					Weights
A <sub>1</sub>	.000.	5,000	3,000	3,000	0,5050
A <sub>2</sub>	0.200	000.	0.500	0.333	0,0868
$A_3$	0,333	2,000	000,	3,000	0,2441
$A_4$	0,333	3.000	0,333	000,	0,1641
᠊᠆	.,866	1,000	4,833	7,333	

$$
\lambda = 4.25 \; ; \; C.I. = \frac{\lambda_{max} - n}{n - 1} = \frac{4.25 - 4}{3} = 0.084 \; ; \; C.R. = \frac{C.I.}{R.I.} = \frac{0.084}{0.89} = 0.094 < 0.10
$$



Table 13. Matrices of relevant importance of the alternative in relation to attribute  $K_2$  (Work safety)

$$
\lambda = 4,06 \; ; \; C.I. = \frac{\lambda_{\text{max}} - n}{n - 1} = \frac{4,06 - 4}{3} = 0,02 \; ; \; C.R. = \frac{C.I.}{R.I.} = \frac{0,02}{0,89} = 0,022 < 0,10
$$

Table 14. Matrices of relevant importance of the alternative in relation to attribute  $K_3$  (Processing coefficient)



$$
\lambda = 4{,}058 \ ; \ \mathrm{C.I.} = \textstyle\frac{\lambda_{\max}-n}{n-1} = \frac{4{,}058{-}4}{3} = 0{,}019 \ ; \ \ \mathrm{C.R.} = \textstyle\frac{\mathrm{C.I.}}{\mathrm{R.I.}} = \frac{0{,}019}{0{,}89} = 0{,}022 < 0{,}10
$$

Table 15. Matrices of relevant importance of the alternative in relation to attribute  $K_4$  (Recovery of deposit)



$$
\lambda = 4,236 \; ; \; C.I. = \frac{\lambda_{max} - n}{n - 1} = \frac{4,236 - 4}{3} = 0,079 \; ; \; C.R. = \frac{C.I.}{R.I.} = \frac{0,079}{0,89} = 0,088 < 0,10
$$

Table 16. Matrices of relevant importance of the alternative in relation to the attribute *K<sup>5</sup>* (Dilution of the obtained ore)



$$
\lambda = 4,124 \; ; \; C.I. = \frac{\lambda_{\max} - n}{n - 1} = \frac{4,124 - 4}{3} = 0,041; \; C.R. = \frac{C.I.}{R.I.} = \frac{0,041}{0,89} = 0,045 < 0,10
$$

Table 17. Matrices of relevant importance of the alternative in relation to attribute  $K_6$ (Production price of 1t of ore)

		H <sub>2</sub>		гл4	Weights
A <sub>1</sub>	000.	5,000	3,000	2,000	0,4583
A <sub>2</sub>	0,200	000,	0,333	0,200	0,0691
$A_3$	0,333	3,000	,000	2,000	0,2372
$A_4$	0,500	5,000	0,500	,000	0,2247
÷	2,033	14,000	4,833	5,200	

$$
\lambda = 4,225 \; ; \; C.I. = \frac{\lambda_{\max}-n}{n-1} = \frac{4,429-4}{3} = 0,074; \; \; C.R. = \frac{C.I.}{R.I.} = \frac{0,075}{0,89} = 0,081 < 0,10
$$



Table 18. Matrices of relevant importance of the alternative in relation to attribute  $K_7$  (Mining performance)

$$
\lambda = 4,165 \, ; \, C.I. = \frac{\lambda_{\text{max}} - n}{n - 1} = \frac{4,165 - 4}{3} = 0,055; \, C.R. = \frac{C.I.}{R.I.} = \frac{0,055}{0,89} = 0,062 < 0,10
$$

Table 19. Matrices of relevant importance of the alternative in relation to the attribute  $K_8$  (Impact to surface)



$$
\lambda = 4,225 \ ; \ \mathrm{C.I.} = \frac{\lambda_{\max}-n}{n-1} = \frac{4,225-4}{3} = 0,075 ; \ \ \mathrm{C.R.} = \frac{\mathrm{C.I.}}{\mathrm{R.I.}} = \frac{0,075}{0,89} = 0,084 < 0,10
$$

At the end of the procedure, a synthesis of the problem of choosing the excavation method is performed, so that all alternatives are multiplied by the weights of individual decision criteria, and the obtained results are added, as shown in Table 20.

Criterion	Weight	A <sub>1</sub>	Weight	A <sub>2</sub>	Weight	$A_3$	Weight	$A_4$	Weight
	criteria		$X A_1$		X A <sub>2</sub>		$X A_3$		$X \ A_4$
$K_1$	0,2792	0,5050	0,1409	0,0868	0,0242	0,2441	0,0682	0,1641	0,0458
$K_2$	0,0967	0,5769	0,0558	0,0716	0,0069	0,2399	0,0232	0,1125	0,0109
$K_3$	0,0799	0,4658	0,0372	0,0691	0,0055	0,1679	0,0134	0,2973	0,0237
$\rm K_4$	0,1417	0,6256	0,0886	0,0614	0,0087	0,1659	0,0235	0,1988	0,0282
$K_5$	0,0359	0,0568	0,0200	0,5917	0,0212	0,1302	0,0047	0,2212	0,0079
$\rm K_6$	0,3016	0,4583	0,1382	0,0691	0,0208	0,2372	0,0715	0,2247	0,0678
K <sub>7</sub>	0,0454	0,2211	0,0100	0,5479	0,0249	0,1308	0,0059	0,1004	0,0046
$\rm K_8$	0,0195	0,2292	0,0044	0,5101	0,0099	0,0919	0,0018	0,6752	0,0132
			0,4774		0,1223		0,2122		0,2021

Table 20. Choice of the optimal alternative of the excavation method

The alternative with the highest value is the most acceptable or optimal method of excavation for a specific ore deposit, which means that alternatives A1 - Method of roof directional excavation with backfilling in horizontal levels will be selected for exploitation of this deposit.

## **CONCLUSION**

The paper presents the procedure for choice of the optimal excavation method of ore deposit through two basic phase:

- 1. The choice of the excavation method according to the natural characteristics of the deposit.
- 2. The choice of the excavation method by the procedure of multicriteria analysis from the group of possible excavation methods selected on the basis of natural characteristics.

The choice of the excavation method by the procedure of multicriteria analysis was performed by applying the AHP method in specific conditions of the ore deposit. One of the leading problems in the application of this method is the definition of decision-making attributes at the second level (decisionmaking criteria) and the assessment of their relevant weights. The authors defined the criteria and estimated the values of their relative weight based on their own experiences in previous scientific research.

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